



1638  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Duvick, et al.  
SERIAL NO : 09/658,835  
FILED : September 8, 2000  
TITLE : AMINO POLYOL AMINE OXIDASE POLYNUCLEOTIDES AND  
RELATED POLYPEPTIDES AND METHODS OF USE

Grp./A.U. : 1638  
Examiner : IBRAHIM, M.  
Conf. No. : 2865  
Docket No. : P05573US2

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

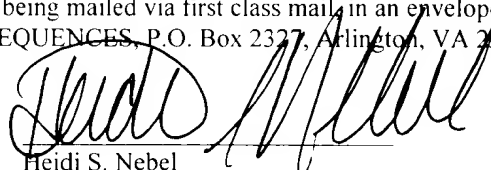
Dear Sir:

Enclosed please find the following documents:

1. A copy of the Notice to Comply with Requirements for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
2. Computer readable copy of the "Sequence Listing";
3. Paper copy of "Sequence Listing";

CERTIFICATE OF MAILING

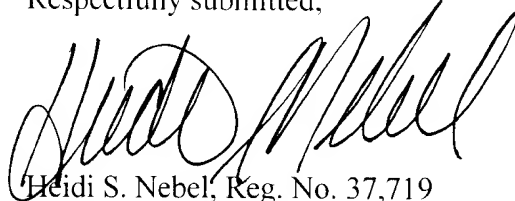
I hereby certify that this correspondence is being mailed via first class mail in an envelope addressed to:  
United States Patent and Trademark Office, BOX SEQUENCES, P.O. Box 2327, Arlington, VA 22202, on this  
29 day of April, 2002.

  
Heidi S. Nebel

4. Statement that the Content of the paper and computer readable copies are the same and include no new matter.

If any additional fees are needed, please charge Deposit Account No. 26-0084.

Respectfully submitted,

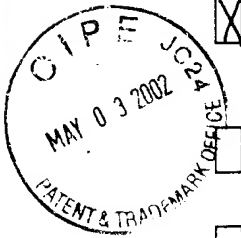
A handwritten signature in black ink, appearing to read "Heidi S. Nebel". The signature is fluid and cursive, with the first name "Heidi" being more prominent than the last name "Nebel".

Heidi S. Nebel, Reg. No. 37,719  
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- pw -

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):



- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 56 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged

computer readable form must be submitted as required by 37 C.F.R. 1.825(d).

- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ ~~An initial~~ or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ ~~An initial~~ or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

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